

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 17:57:01

2. **Agency:** 010

3. **Bureau:** 00

4. **Name of this Investment:** DOI - Federal Financial System (FFS)

5. **Unique Project (Investment) Identifier:** 010-00-01-01-01-0002-00

6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Federal Financial System (FFS) provides the core accounting processing requirements for 7 DOI bureaus and offices, and 4 non-Interior agencies that are being cross-served by DOI's National Business Center (an OMB approved Shared Service Provider). FFS supports the PMAs for improved financial management and eliminating improper payments. FFS supports all aspects of federal accounting and is composed of the following integrated components: budget execution; project cost; cost allocation; general ledger; external reporting; accounts receivable; obligations (purchasing); accounts payable; annual close; automated disbursements; travel payments; etc. FFS has been implemented in various DOI bureaus for approximately 21 years; the first two bureaus having implemented FFS in October 1988. FFS is a mainframe system; some of that technology is becoming outdated, and more modern systems are being developed and maintained with different technology. FFS is no longer supported by the software vendor and is nearing the end of its useful life. FFS cannot be easily modernized to meet any new changes mandated by laws and regulations. Interior must replace FFS with a system that complies with a contemporary open system architecture environment. Further, the existing system does not take advantage of new technology and is not integrated in a manner that facilitates effective and efficient transfer of data and streamlining of processes necessary to support the Department in the future. Moreover, the 2010 FFS Operational Analysis indicated that FFS would require improvements in 9 functional areas if FFS were not being replaced by the Financial and Business Management System (FBMS). The Department plans to replace FFS and other related financial systems through the Financial and Business Management System (FBMS) Project. FFS will be retired as new functionality is available through the implementation of FBMS. Based on the current FBMS implementation schedule, FFS will be completely phased out by no later than 4/30/2014. FFS must continue as a production system (until FBMS is fully implemented) in order for the Department and its bureaus/offices to fulfill responsibilities for federal government accounting and reporting. FBMS is a major enterprise management effort that integrates financial management, procurement, property management, and other systems and is standardizing and integrating administrative processes throughout Interior.

- a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
INDNBCC08021	Time and Materials	Y	2008-11-10	2008-11-17	2009-09-30	\$0.1	*	*	*	*	*
INDNBCC08021	Time and Materials	Y	2009-10-15	2009-11-02	2010-09-30	\$0.1	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Management Excellence: Increased Accountability.	*	*	Unqualified audit opinion on Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2006 Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2008 Departmental Consolidated Financial statements.	For FY 2008, Interior received an unqualified audit opinion on the Departmental Consolidated Financial Statements.
2008	Management Excellence: Increased Accountability.	*	*	Percent of time of system availability to customers.	97%	98%	99%
2010	Management Excellence: Increased Accountability.	*	*	Unqualified audit opinion on Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2006 Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2010 Departmental Consolidated Financial statements.	To be determined based on the results of the FY 2010 financial statement audit (Q1, FY 2011).
2013	Management Excellence: Increased Accountability.	*	*	Unqualified audit opinion on Departmental Consolidated Financial Statements.	Unqualified audit opinion on the FY 2006 Departmental Consolidated Financial Statements.	Unqualified audit opinion on the FY 2013 Departmental Consolidated Financial Statements.	To be determined based on the results of the FY 2013 Financial statement audit (Q1, FY 2014).
2008	Management Excellence: Increased Accountability.	*	*	Payment timeliness: Percent of invoices subject to Prompt Payment Act that are paid on time.	97%	98%	Actual FY 2008 on-time payment performance was 98.5%.
2012	Management Excellence: Increased Accountability.	*	*	Help desk response time.	Respond within 8 hours from time of receipt of call/communication.	Respond within 7 hours from time of receipt of call/communication.	To be determined (Q1, FY 2013).
2013	Management Excellence: Increased Accountability.	*	*	Help desk response time.	Respond within 8 hours from time of receipt of call/communication.	Respond within 7 hours from time of receipt of call/communication.	To be determined (Q1, FY 2014).
2009	Management Excellence: Increased Accountability.	*	*	Payment timeliness: Percent of invoices subject to Prompt Payment Act that are paid on time.	97%	98%	99%
2009	Management Excellence: Increased Accountability.	*	*	Help desk response time.	For FY 2009, Interior received an unqualified audit opinion on the	Respond within 7 hours from time of receipt of call or communication.	Responded within 5.5 hours from time of receipt of call or communication.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
Departmental Consolidated Financial Statements							
2009	Management Excellence: Increased Accountability.	*	*	Unqualified audit opinion on Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2006 Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2009 Departmental Consolidated Financial statements.	For FY 2009, Interior received an unqualified audit opinion on the Departmental Consolidated Financial Statements
2011	Management Excellence: Increased Accountability.	*	*	Help desk response time.	Respond within 8 hours from time of receipt of call/communication	Respond within 7 hours from time of receipt of call/communication.	To be determined (Q1, FY 2012).
2007	Management Excellence: Increased Accountability.	*	*	Help desk response time.	Respond within 8 hours from time of receipt of call or communication.	Respond within 7 hours from time of receipt of call or communication.	Responded within 6 hours from time of receipt of call or communication.
2008	Management Excellence: Increased Accountability.	*	*	Help desk response time.	Respond within 8 hours from time of receipt of call or communication.	Respond within 7 hours from time of receipt of call or communication.	Responded within 5.5 hours from time of receipt of call or communication.
2007	Management Excellence: Increased Accountability.	*	*	Unqualified audit opinion on Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2006 Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2007 Departmental Consolidated Financial statements.	For FY 2007, Interior received an unqualified audit opinion on the Departmental Consolidated Financial Statements.
2012	Management Excellence: Increased Accountability.	*	*	Unqualified audit opinion on Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2006 Departmental Consolidated Financial statements.	Unqualified audit opinion on the FY 2012 Departmental Consolidated Financial statements.	To be determined based on the results of the FY 2012 financial statement audit (Q1, FY 2013).
2007	Management Excellence: Increased Accountability.	*	*	Payment timeliness: Percent of invoices subject to Prompt Payment Act that are paid on time.	97%	98%	Actual FY 2007 on-time payment performance was 98.6%.
2010	Management Excellence: Increased Accountability.	*	*	Help desk response time.	Respond within 8 hours from time of receipt of call/communication.	Respond within 7 hours from time of receipt of call/communication.	To be determined (Q1, FY 2011).
2011	Management Excellence: Increased Accountability.	*	*	Unqualified audit opinion on Departmental Consolidated	Unqualified audit opinion on the FY 2006 Departmental	Unqualified audit opinion on the FY 2011 Departmental	To be determined based on the results of the FY

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				Financial statements.	Consolidated Financial statements.	Consolidated Financial statements.	2011 financial statement audit (Q1, FY 2012).
2010	Management Excellence: Increased Accountability.	*	*	Percent of time of system availability to customers.	97%	98%	To be determined (Q1, FY 2011).
2012	Management Excellence: Increased Accountability.	*	*	Percent of time of system availability to customers.	97%	98%	To be determined (Q1, FY 2013).
2009	Management Excellence: Increased Accountability.	*	*	Percent of time of system availability to customers.	97%	98%	99%
2010	Management Excellence: Increased Accountability.	*	*	Payment timeliness: Percent of invoices subject to Prompt Payment Act that are paid on time.	97%	98%	To be determined Q1, FY 2011).
2012	Management Excellence: Increased Accountability.	*	*	Payment timeliness: Percent of invoices subject to Prompt Payment Act that are paid on time.	97%	98%	To be determined Q1, FY 2013).
2011	Management Excellence: Increased Accountability.	*	*	Payment timeliness: Percent of invoices subject to Prompt Payment Act that are paid	97%	98%	To be determined Q1, FY 2012).
2007	Management Excellence: Increased Accountability.	*	*	Percent of time of system availability to customers.	97%	98%	99%
2013	Management Excellence: Increased Accountability.	*	*	Payment timeliness: Percent of invoices subject to Prompt Payment Act that are paid on time.	97%	98%	To be determined (Q1, FY 2014).
2011	Management Excellence: Increased Accountability.	*	*	Percent of time of system availability to customers.	97%	98%	To be determined (Q1, FY 2012).
2013	Management Excellence: Increased Accountability.	*	*	Percent of time of sysem availability to customers.	97%	98%	To be determined (Q1, FY 2014).

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY 2004	\$21.2	\$21.2	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FY 2008	\$25.8	\$25.8	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY 2005	\$23.0	\$23.0	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY 2007	\$24.6	\$24.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2006	\$23.4	\$23.4	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY 2009	\$25.5	\$25.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2006	\$23.4	\$23.4	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY 2013	*	*	2012-10-01		2013-04-30		0.00%	0.00%
FY 2007	\$24.6	\$24.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2010	\$24.3	\$20.3	2009-10-01	2009-10-01	2010-09-30		83.00%	83.00%
FY 2004	\$21.2	\$21.2	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FY 2005	\$23.0	\$23.0	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY 2009	\$25.5	\$25.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2012	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2008	\$25.8	\$25.8	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY 2011	*	*	2010-10-01		2011-09-30		0.00%	0.00%

* - Indicates data is redacted.